

# A Scale Space Approach to the Processing of Point Clouds

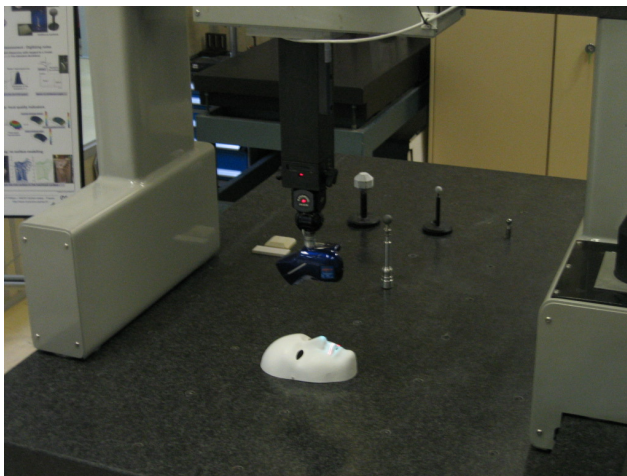
## Master MVA - ENS Cachan

Julie Digne  
CMLA - ENS Cachan

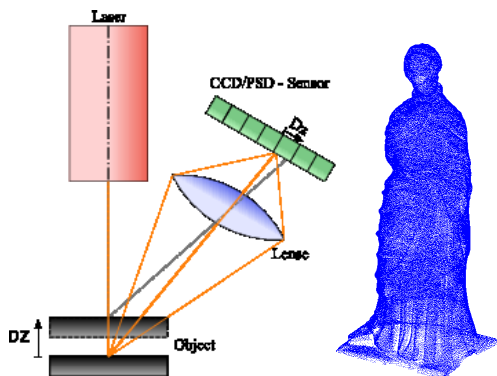
INRIA Sophia Antipolis

2011/10/14

## Introduction: Acquisition of point clouds

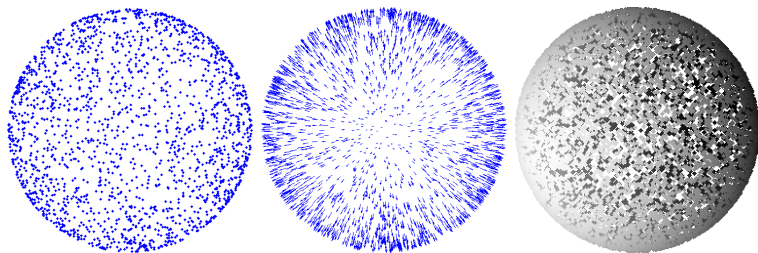


# Input data



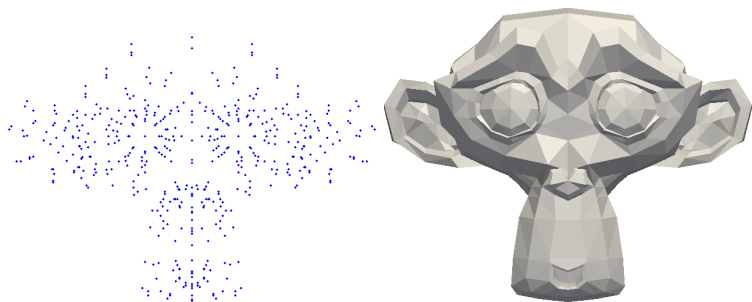
*Triangulation laser scanner:* triangle formed by the camera optic center, the laser emitter and the impact point. The result given by the scanner is a list of unoriented 3D points

## 3d surfaces typical challenges: Orienting the point set





# 3d surfaces typical challenges: Building a mesh from a set of points



## 3d surfaces typical challenges: Registering and merging scans



# Outline

Mathematical background

Scale Space Definition

Point Set Orientation

Mesh Reconstruction

Scale Space Merging

# Outline

Mathematical background

Scale Space Definition

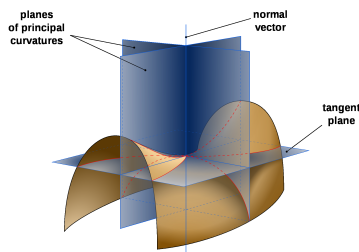
Point Set Orientation

Mesh Reconstruction

Scale Space Merging

## Definition of a surface

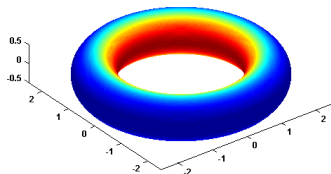
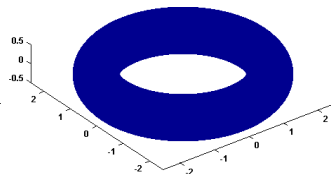
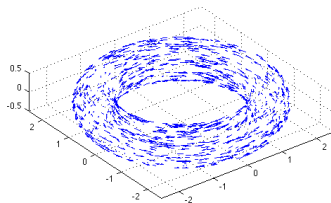
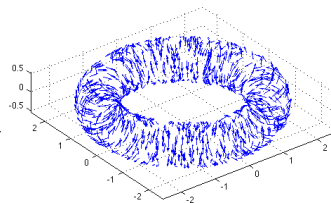
- Two-dimensional manifold embedded in a higher dimensional space.
- We will assume the surface to be  $\mathcal{C}^2$ . For each surface point  $\mathbf{p}$ , there exists a neighborhood  $V$  around  $\mathbf{p}$  where the surface is locally a graph  $z = g(x, y)$  on the tangent plane.



**Figure:** Curvatures of a surface. (Image by Eric Gaba, from Wikimedia Commons)

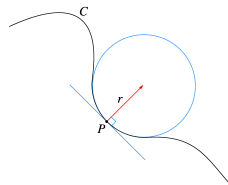
- The principal curvatures  $k_1$  and  $k_2$  of a  $C^2$  surface are the eigenvalues of  $D^2g$  such that  $k_1 \geq k_2$ .  $D^2g$  is the second derivative of  $g$ :
 
$$D^2g = \begin{pmatrix} 2a & c \\ c & 2b \end{pmatrix}.$$
- The principal directions  $(\vec{t}_1, \vec{t}_2)$  are defined as the eigenvectors associated to the eigenvalues of  $D^2g$
- The *Mean Curvature*  $H$  is defined as  $H = \frac{1}{2}(k_1 + k_2)$ .

## Torus

(a)  $k_1$ (b)  $k_2$ (c)  $t_1$ (d)  $t_2$

## Interpretation of the principal curvatures

- $C_1$  and  $C_2$  the planar curves formed by the intersection of the planes  $(\mathbf{p}, \vec{t}_1, n)$  and  $(\mathbf{p}, \vec{t}_2, n)$  with the surface.
- $k_1$  and  $k_2$  are the curvature of the curves  $C_1$  and  $C_2$ : the inverse of the radius of the osculating circle (up to the sign).



**Figure:** Osculating circle of a planar curve. Image from Wikimedia Commons.



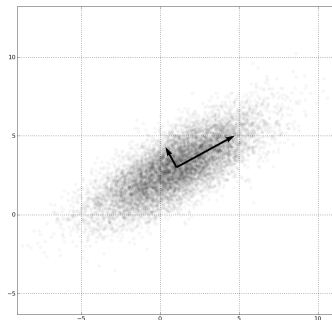
# Mean Curvature Motion

The equivalent of the heat equation for 2D images (isotropic diffusion) is the mean curvature motion for surface (MCM). Let  $S$  be a surface and  $\mathbf{p}$  a point of  $S$  with normal  $\mathbf{n}$  and mean curvature  $H(\mathbf{p})$ , then the mean curvature motion writes:

$$\frac{\partial \mathbf{p}}{\partial t} = -H(\mathbf{p})\mathbf{n}(\mathbf{p}) \quad (1)$$

# Principal Component Analysis

- finds given a set of variables the directions capturing the highest data variation.



**Figure:** Principal directions found by PCA on a set of 2D points. Image by Ben FrantzDale from Wikimedia Commons.

# Outline

Mathematical background

**Scale Space Definition**

Point Set Orientation

Mesh Reconstruction

Scale Space Merging

## Notations and definitions

- The surface  $\mathcal{M}$  supporting the data point set is assumed to be at least  $C^2$ . The samples on the surface  $\mathcal{M}$  are denoted by  $\mathcal{M}_S$ .
- $\mathbf{p}(x, y, z)$  be a point of the surface  $\mathcal{M}$  with principal curvatures  $k_1 > k_2$  (non-umbilical point)
- The quadruplet  $(\mathbf{p}, \vec{t}_1, \vec{t}_2, \vec{n})$  is called the local intrinsic coordinate system. In this system we can express the surface as a  $C^2$  graph  $z = f(x, y)$ . By Taylor expansion,

$$z = f(x, y) = -\frac{1}{2}(k_1x^2 + k_2y^2) + o(x^2 + y^2). \quad (2)$$

# Spherical neighborhoods vs cylindrical neighborhoods

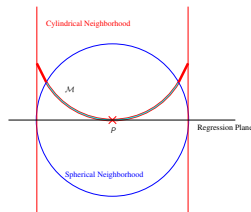


Figure: Cylindrical and spherical neighborhood

## Lemma

Integrating on  $\mathcal{M}$  any function  $f(x, y)$  such that  $f(x, y) = O(r^n)$  on a cylindrical neighborhood  $\mathcal{C}_r(\mathbf{p})$  instead of a spherical neighborhood  $\mathcal{B}_r(\mathbf{p})$  introduces an  $o(r^{n+4})$  error. More precisely:

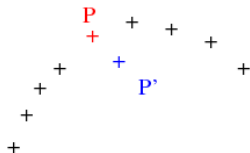
$$\int_{\mathcal{B}_r} f(x, y) dM = \int_{x^2+y^2 < r^2} f(x, y) dx dy + O(r^{4+n}). \quad (3)$$

## Projection on the local barycenter

Let  $\mathbf{p}$  be a point of a data set  $\mathcal{M}$  and denote by  $\mathcal{N}_r(\mathbf{p})$  the set of all points  $\mathbf{q}$  in  $\mathcal{M}$  such that  $\|\mathbf{p} - \mathbf{q}\| < r$ .

### Theorem

*In the local intrinsic coordinate system, the barycenter  $O$  of a neighborhood  $B_r(\mathbf{p})$  where  $\mathbf{p}$  is the origin of the neighborhood has coordinates  $x_O = o(r^2)$ ,  $y_O = o(r^2)$  and  $z_O = -\frac{Hr^2}{4} + o(r^2)$ , where  $H = \frac{k_1+k_2}{2}$  is the mean curvature at  $\mathbf{p}$ .*



# Link between normal to the regression plane and PCA least eigenvector

## Lemma

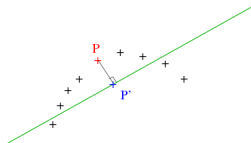
*The normal  $\vec{v}$  to the PCA regression plane at  $\mathbf{p} \in \mathcal{M}$  is equal to the surface normal at point  $\mathbf{p}$ , up to a negligible factor:  $\vec{v} = \vec{n}(\mathbf{p}) + O(r)$ .*

## Projection on the local regression plane

Let  $\mathbf{p}$  be a point of a data set  $\mathcal{M}$  and denote by  $\mathcal{N}_r(\mathbf{p})$  the set of all points  $\mathbf{q}$  in  $\mathcal{M}$  such that  $\|\mathbf{p} - \mathbf{q}\| < r$ .

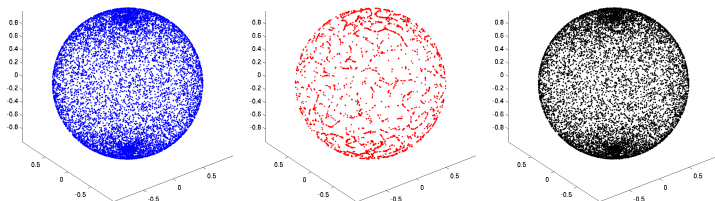
### Theorem (Plane projection filter)

*In the local intrinsic coordinate system of a continuous and smooth two-dimensional manifold  $\mathcal{M}$ , for  $\mathbf{p} \in \mathcal{M}$  the projection  $\mathbf{p}'$  of  $\mathbf{p}$  on the local regression plane has coordinates  $x_{\mathbf{p}'} = o(r^2)$ ,  $y_{\mathbf{p}'} = o(r^2)$  and  $z_{\mathbf{p}'} = \frac{Hr^2}{4} + o(r^2)$ , where  $H = \frac{k_1+k_2}{2}$  is the surface mean curvature at  $\mathbf{p}$  and  $k_1, k_2$  the surface principal curvatures at  $\mathbf{p}$ .*





# The discrete case: plane vs barycenter projection filter



(a) Original samples on a sphere (b) 4 iterations of the barycenter filter (c) 4 iterations of the projection filter

**Figure:** Comparison of the clustering effect for the barycenter filter and the projection filter on a randomly sampled sphere.

## Consequence

## Theorem

Let  $T_r$  be the operator defined on the surface  $\mathcal{M}$  transforming each point  $\mathbf{p}$  into its projection on the local regression plane. Then

$$T_r(\mathbf{p}) - \mathbf{p} = \frac{Hr^2}{4} \mathbf{n}(\mathbf{p}) + o(r^2). \quad (4)$$

- $H \approx \frac{4\langle \mathbf{p}' - \mathbf{p}, \mathbf{n}(\mathbf{p}) \rangle}{r^2}$
- It is a very stable estimate since it relies on order 1 approximation

# Practical Scale Space Algorithm

Projection on the *PCA regression plane*

1. Get the set of neighbors  $\mathcal{N}_r(\mathbf{p})$
2. Compute the barycenter  $O = \sum_{Q \in \mathcal{N}_r(\mathbf{p})} Q$
3. Compute the centered covariance matrix  
$$\Sigma = \sum_{Q \in \mathcal{N}_r(\mathbf{p})} (Q - O)^T (Q - O)$$
4. Get the eigenvector  $v_0$  corresponding to the least eigenvalue of  $\Sigma$ .
5.  $\mathbf{p}_{new} = \mathbf{p} + \langle \mathbf{p} - O, v_0 \rangle v_0$

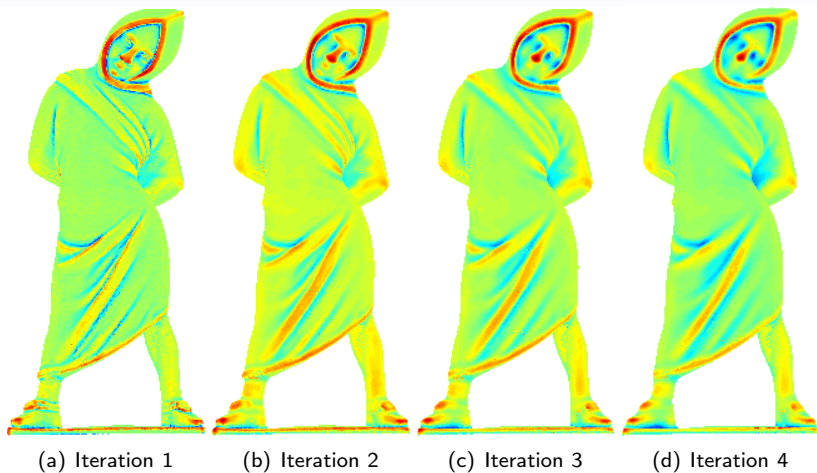
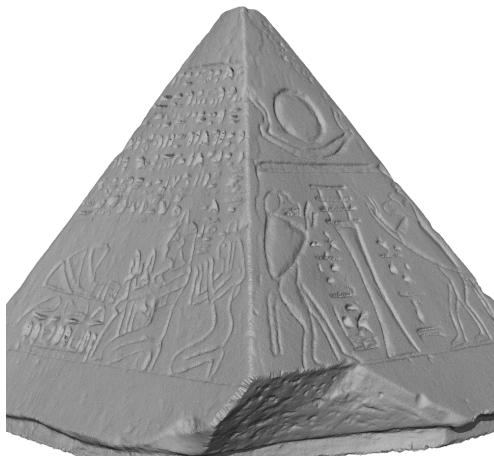
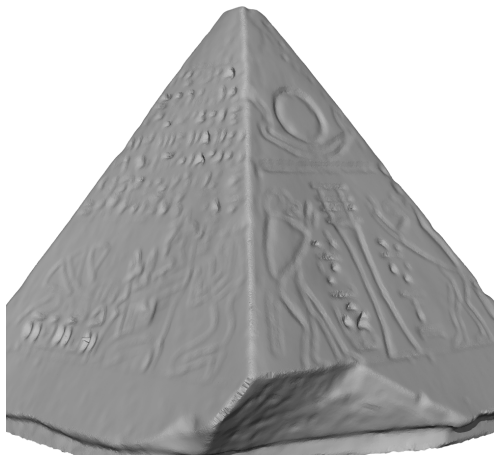
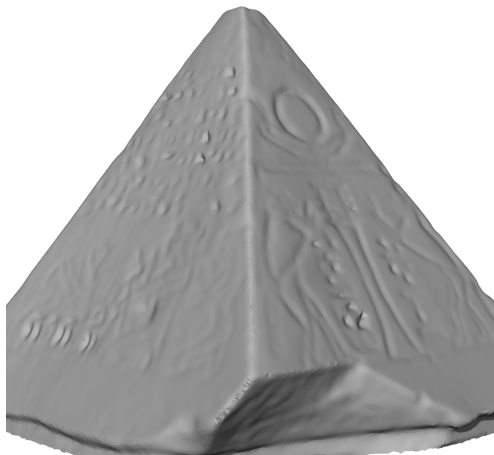
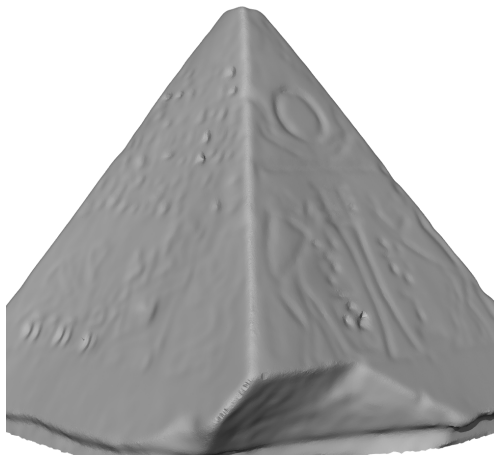


Figure: Curvature evolution by iterative projection ( $T_r$ )

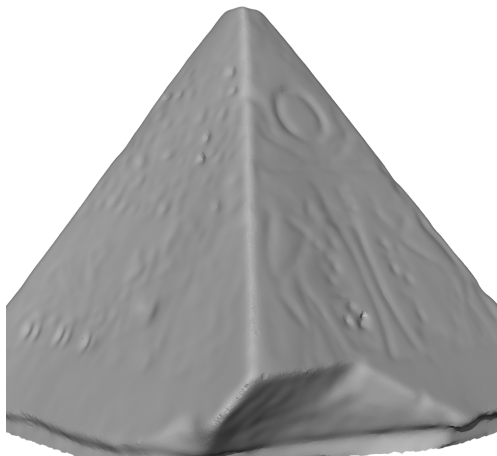


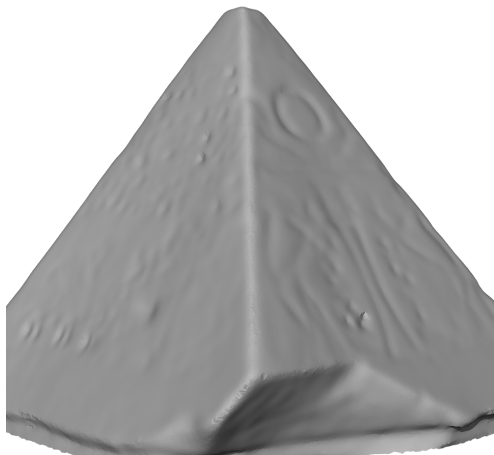


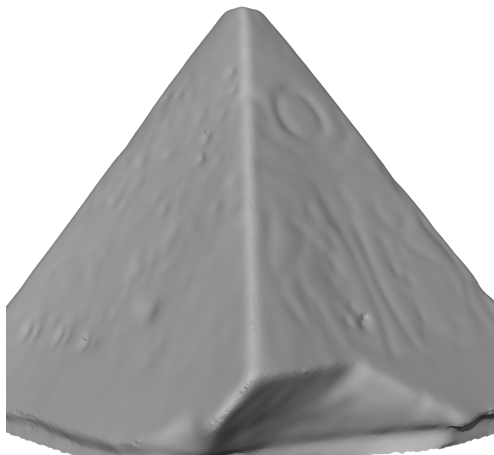


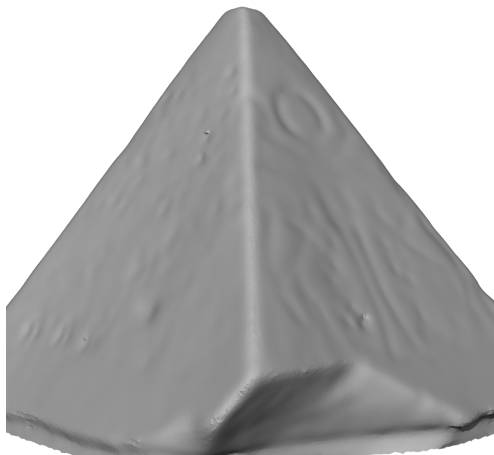


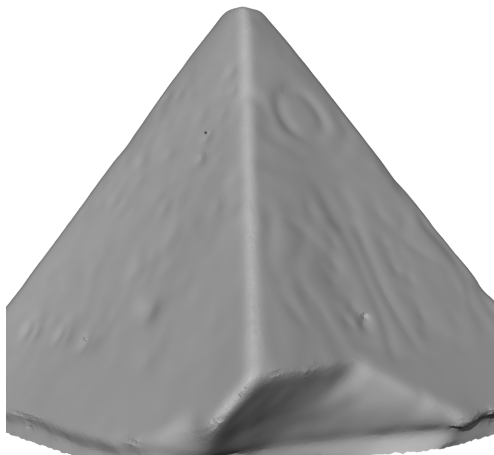












## 3D scale space

- Scale space for images: simplify the image to get the global information
- 3D equivalent to the 2D heat equation scale space
- Idea: perform low scale robust processing and propagate the information back on the original data

# Outline

Mathematical background

Scale Space Definition

**Point Set Orientation**

Mesh Reconstruction

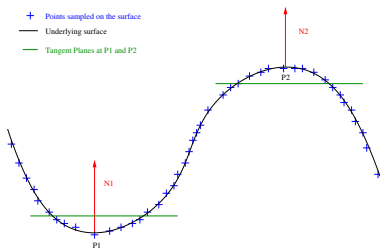
Scale Space Merging

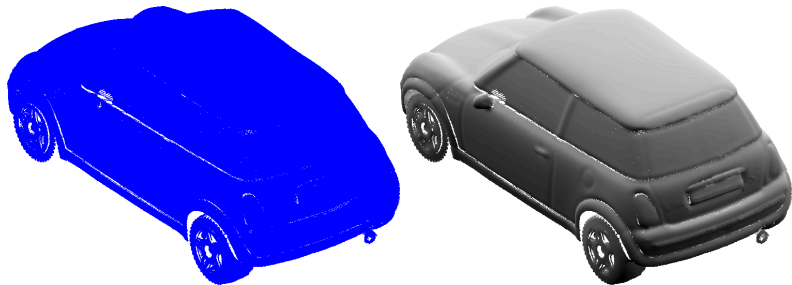
## Application to the 3D point set orientation problem

- The eigenvector corresponding to the least eigenvalue of the local covariance matrix is a good approximation of the normal direction
- There is still an ambiguity on the orientation
- We must find a coherent orientation (outward pointing normal for example)
- **Idea: perform the orientation propagation at coarse scale**



- Compute the normal directions for all points
- Apply  $N$  scale space iterations
- Choose a point in a flat area, pick one of the two possible orientations
- Propagate orientation in the neighborhoods





# Outline

Mathematical background

Scale Space Definition

Point Set Orientation

**Mesh Reconstruction**

Scale Space Merging

## Application: reconstructing shapes with textures and details

- The aim is to preserve textures and small details
- Any Level Set method is forbidden to avoid shape smoothing ([Hoppe et al., 92], [Khazdan, 05], [Khazdan et al., 06], [Alliez et al., 07])
- Triangulation by  $\alpha$ -shapes the standard Ball Pivoting Algorithm also removes details ([Edelsbrunner, Mücke, 94], [Bernardini et al., 99])

# Algorithm

1. Apply  $N$  scale space iterations and keep a track at each step of the point displacements;
2. Mesh the resulting samples. The obtained mesh is singularity free;
3. Project the mesh back to the original points;
4. The result is an interpolating mesh which preserves textures and details.

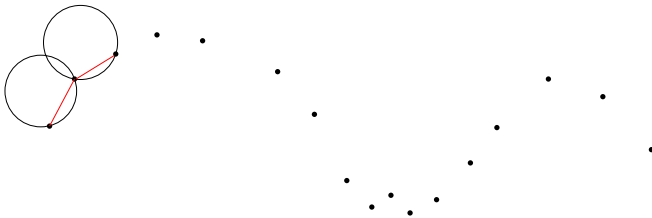
## Meshing technique: Ball Pivoting Algorithm [Bernardini et al. 99]

- Three points should be linked by a triangle if and only if one can fit a ball with radius  $r$  through the points and that this ball contains no other point.
- Starting with such a triplet of points, a ball is *pivoted* around each edge of the triangle until it meets another point, if the ball is empty then a new triangle is formed.

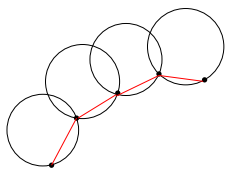


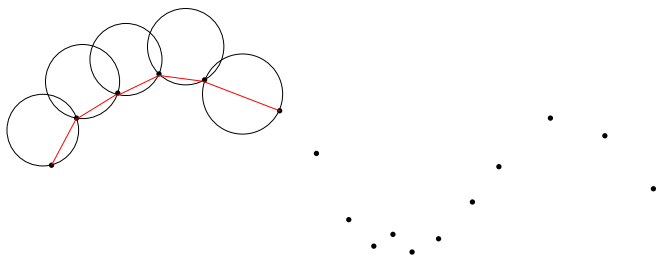


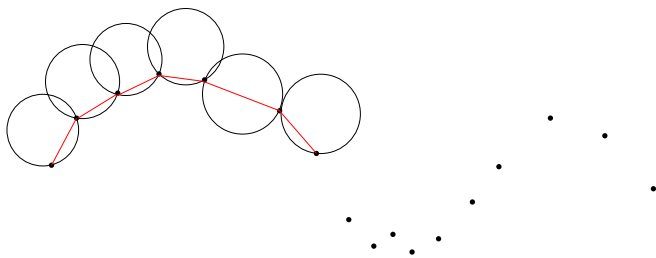


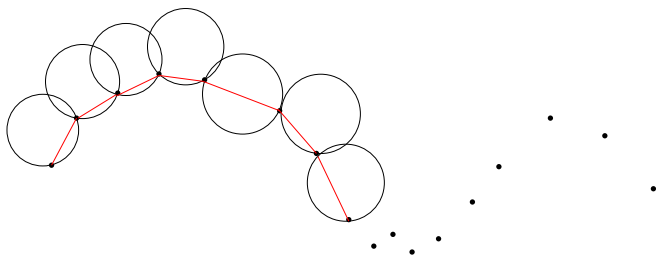


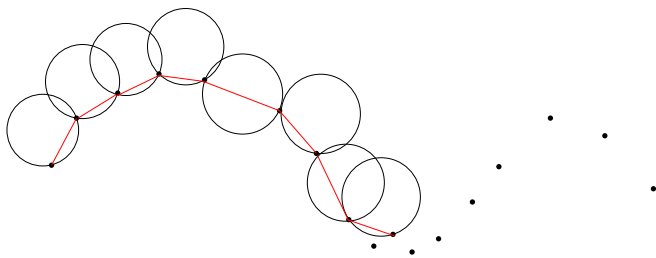


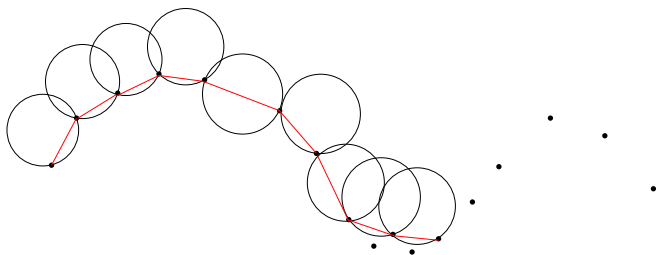




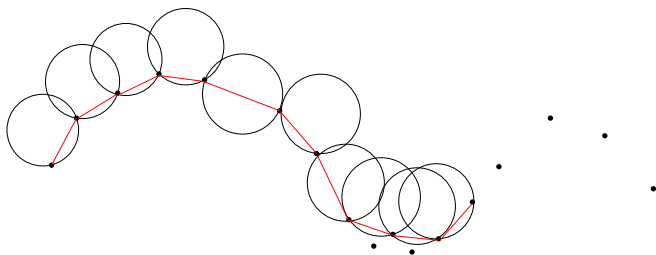


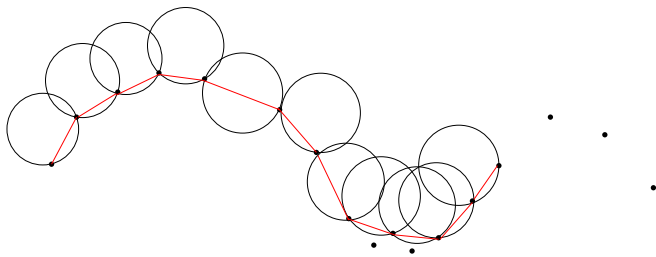


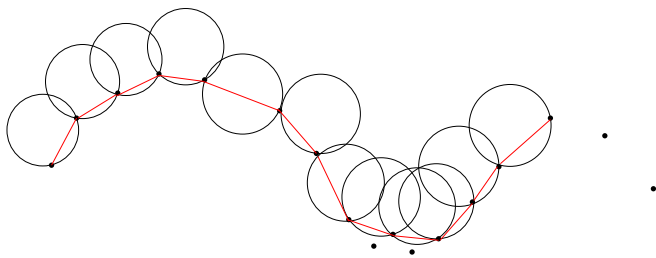




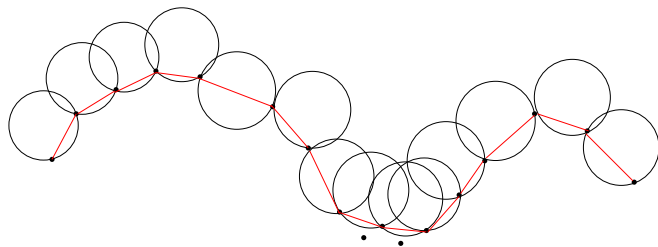




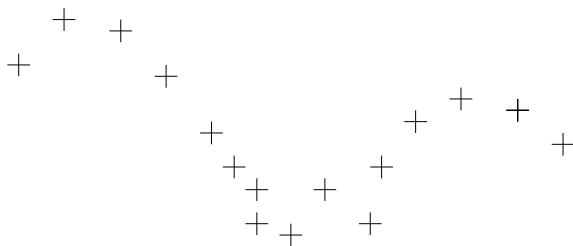






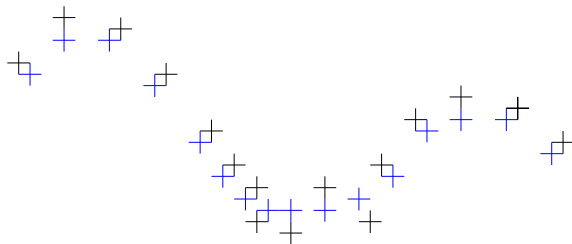


# synthetic 1D example



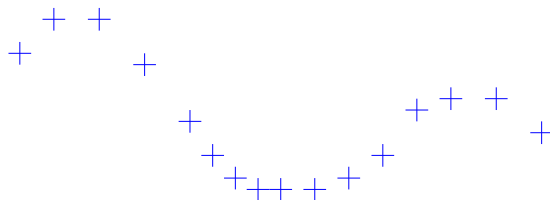
Initial points

# synthetic 1D example



Initial points and their projections

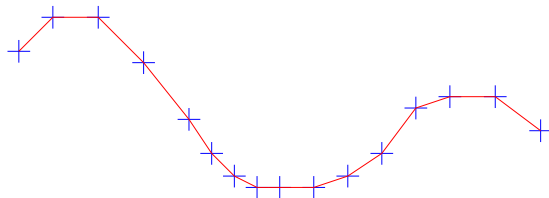
## synthetic 1D example



Projected points

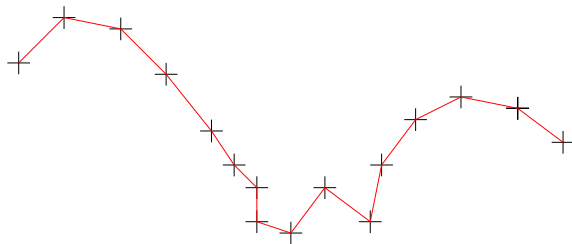


## synthetic 1D example



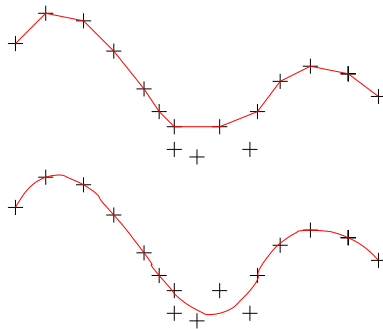
Resulting mesh of the projected points

# synthetic 1D example



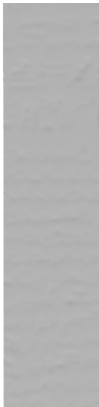
Back projected mesh of the initial points

## synthetic 1D example



Top: same initial points with direct BPA triangulation

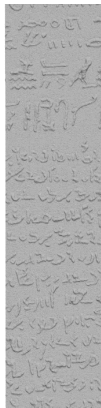
Bottom: same initial points with Poisson Reconstruction













## Original Object: 20 cm high Tanagra

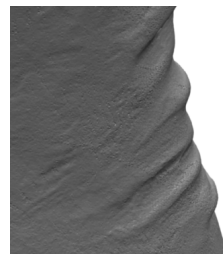
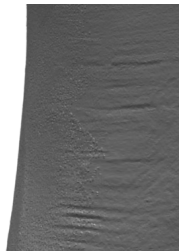
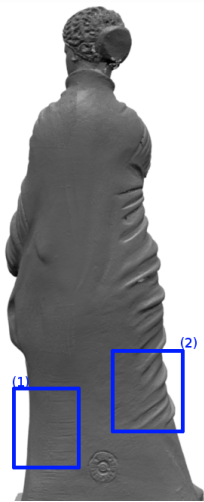


## Coarse resolution Mesh (after projection iterations)



## Mesh obtained at a high resolution (back-projected)





## Comparison with other methods





Figure: Direct meshing (Ball pivoting)



Figure: Poisson Reconstruction [Khazdan et al. 06]

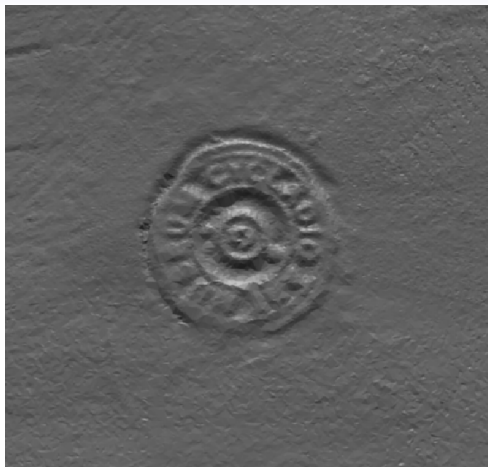


Figure: Scale Space Meshing



## More comparisons...



Figure: Original Fragment

## More comparisons...

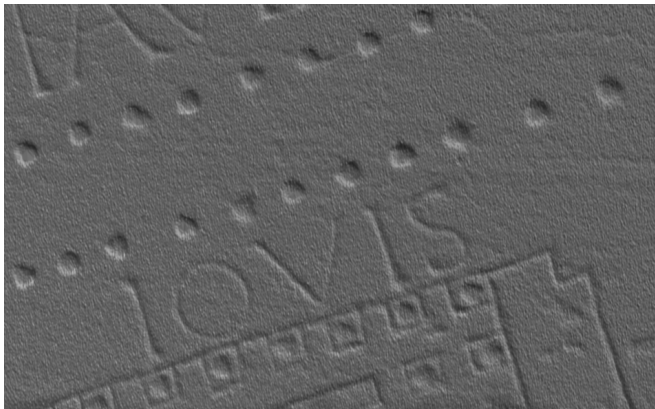


Figure: Back-projected mesh

## More comparisons...

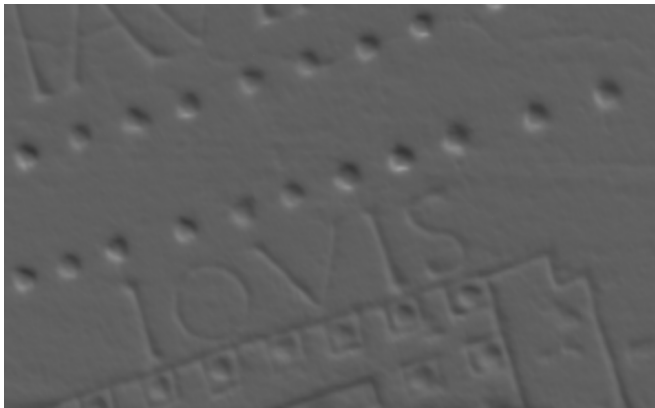


Figure: Poisson Mesh Reconstruction

# Outline

Mathematical background

Scale Space Definition

Point Set Orientation

Mesh Reconstruction

**Scale Space Merging**

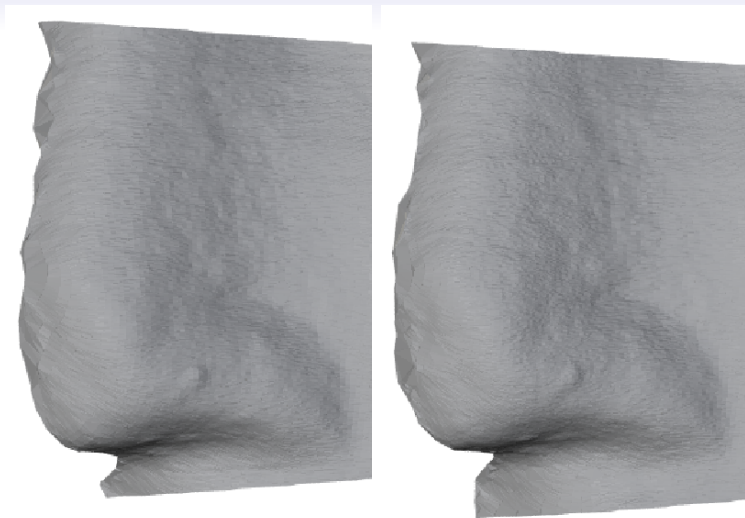
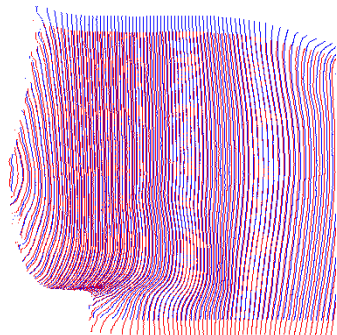


Figure: Two scans covering the same area

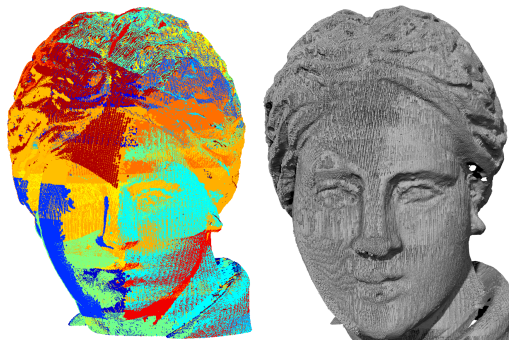


(a) Overlapping scans



(b) Joint Mesh of both scans

## Scan Superposition Artefacts



**Figure:** Example of artefacts created by the superposition of multiple scans (35 scans)

## Method Overview

- Input: a set of scans  $S_1, S_2, \dots, S_N$
- Each scan can be decomposed into a smooth base and a high frequency part:  $S_i = B_i + h_i$
- Find a common basis for all scans and add local high frequencies
- The idea is close to blending images with gaussian pyramids ([Burt and Adelson, 83]) or morphing methods ([Pauly et al., 06])



# Algorithm

- $N$  iterations of  $T_r$  are applied to each scan separately, the displacement vectors  $\delta p$  are stored and points are moved back to their original positions.
- $N$  iterations of  $T_r$  are applied to all the input scans together yielding a set of globally smoothed positions  $p'$
- The displacement vectors are then added back to the smoothed points:  $p_f \leftarrow p' + \delta p$
- **Two parameters method: radius  $r$  and number of iterations  $N$**

## Results



Figure: left: input, middle: smooth base found, right: adding high frequencies

Some more results (video)

# Comparison of the merging result with a groundtruth

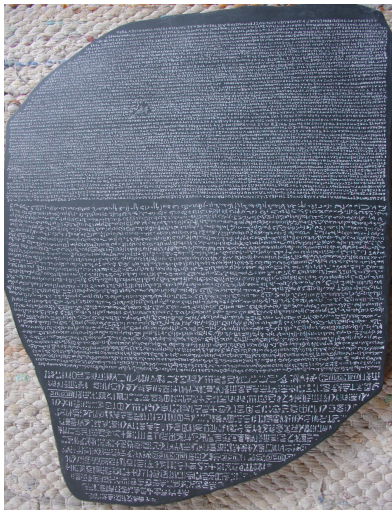


**Figure:** Left: ground truth, middle: and the merging of all scans that overlap in the same region, right: joint mesh with merging

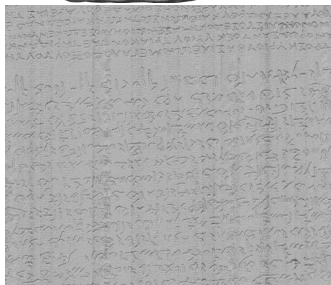
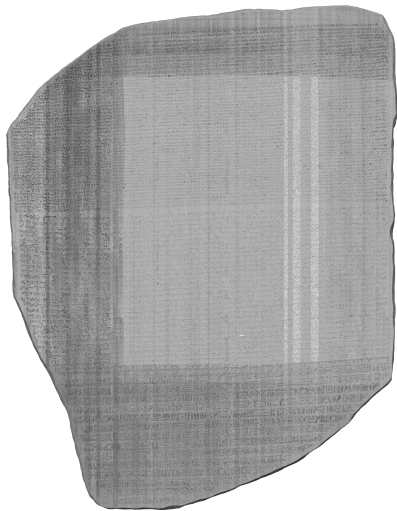
## How accurate can we be?

- Test object: a reproduction of the rosetta stone.
- Engravings are around  $50 - 100\mu$  deep
- Can these engravings be acquired and processed?
- Laser acquisition yielded 36,201,537 points and 32 scans.

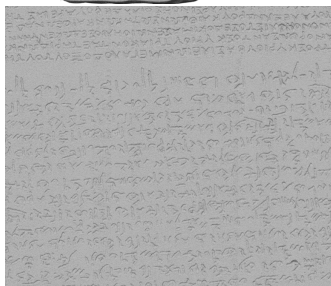
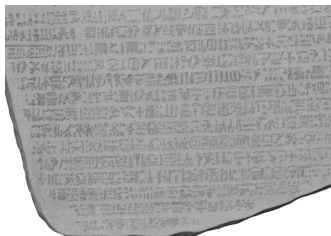
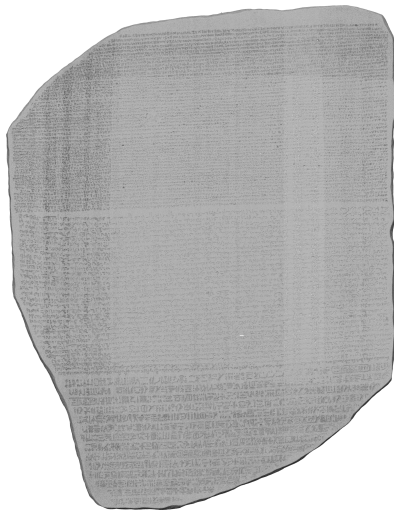
# Picture of the object



## Joint mesh of the scans



## Merged scans mesh



## Why not use Poisson Reconstruction [Kazhdan et al. 06]?





# Conclusion

- A pipeline for processing highly accurate point clouds based on the scale space was introduced
- In a unified way the scale space solves various problems: point set orientation, meshing, merging and mesh segmentation
- Data available on [www.ipol.im](http://www.ipol.im) : *Farman Institute 3D Point Sets*.

## Some algorithmic problems and how to solve them

- All algorithms for 3D point sets are based on the definition of a *neighborhood*
- Two kinds of neighborhoods:  $k$ -nearest neighbors or ball neighborhood.
- Data is unstructured: how do we get the neighbors? Naive algorithm is  $O(N^2)$

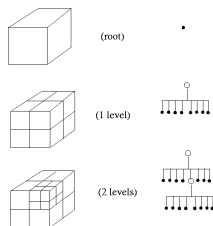


Figure: An octree

## An algorithm to sort the points

Given a set of points and a depth  $d$ :

- An initial root node is built so that it contains all points;
- When a point  $\mathbf{p}$  is added to a cell  $C$ :
  - If the cell has depth  $d$  it is a leaf and the point is simply added to the list of points of  $C$ ;
  - Otherwise, look for the cell child  $C_i$  that would contain the point;
  - If  $C_i$  does not exist create it and add the point to it.

## Bilateral filtering of point sets

- Goal: denoising a shape without losing the sharp features
- Generalization of the gray image bilateral filter
- Each point is updated in the normal direction by  $\delta p$



(a) Original of the David

(b) Noisy David



(c) Bilateral denoising

(d) MCM

$$\delta p = \frac{1}{C} \sum_{p' \in \mathcal{N}(p)} \exp\left(-\frac{\|p - p'\|^2}{\sigma_d^2}\right) \exp\left(-\frac{\langle n, p' - p \rangle^2}{\sigma_n^2}\right) \langle n, p' - p \rangle$$

# Resampling of point sets: Parameterization-free projection for geometry reconstruction

- Goal: resampling a shape while keeping sampling holes and sharp features
- A local projection operator (LOP) is defined, by projecting a set of points on the surface, a new surface sampling is obtained.
- The projected points  $\mathbf{q}$  are the fixed points of an equation  $\mathbf{q} = G(\mathbf{q})$  where  $G$  is a

functional made of two balancing terms: one that drives  $\mathbf{q}$  to the points  $\mathbf{p}$  of the original set and one that strives to keep the distribution of  $\mathbf{q}$  homogeneous.

